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A dynamic resource defragmentation scheme for virtualized SDN-enabled substrate networks

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Abstract—Virtual network embedding (VNE) was subject to extensive research which lead to the emergence of a large number of efficient online VNE algorithms. When virtual networks (VNs) arrive and depart over time, the substrate network can easily drift into an inefficient configuration, where resources are increasingly fragmented causing a VN request rejection although cumulatively, there are enough available resources. The ability to reallocate running VNs clearly leads to a better resource utilization. In this paper, we propose “Garbage Collector”(GC), a novel network control program for dynamic and online resource management in virtualized SDN-enabled substrates. GC efficiently addresses the fragmentation problem by performing selective migration. Simulations show that GC clearly improves acceptance ratio of VNE algorithms. They also reveal that, it outperforms some existing works from the literature by increasing the VN acceptance ratio by more than 10%.

I. INTRODUCTION

Network virtualization enables the co-existence of multiple concurrent VNs over the same substrate network (SN) in an independent and isolated manner. It relies on algorithms commonly known as “Virtual Network Embedding” algorithms to compute the substrate network resources that support each VN.

When VNs arrive and leave the infrastructure over time, the SN can easily drift into an inefficient configuration. Although cumulatively, enough resources are available, new VN requests may be rejected because these resources are too fragmented. The ability to reallocate running VNs allows enhancing resource utilization. This is why a defragmentation mechanism is usually used to complement online VNE algorithms to proactively or reactively trigger some VLs (Virtual Links) reallocations. Their objective is to evenly spread the load leading to a reduction of network resource fragmentation and, as a consequence, an improved admissibility for forthcoming VNs requests.

However, migrating reallocated VNs is non-trivial. It involves numerous operations to instantiate virtual nodes and redeploy their connecting VLs. Realizing these operations at hand is a time-consuming task as well as error prone. The emerging SDN paradigm has been recognized as a key solution to overcome these problems by enabling dynamic and automated configurations for a fast and reliable deployment. But, its use has also introduced some new constraints, namely, the limited capacity of forwarding tables, which is actually around a few thousands of entries [1] [2] in commodity SDN-compliant switches. These switching resources are not only requested by virtual nodes, they are also required to embed VLs. In fact, a number of flow rules have to be installed on auxiliary nodes i.e., nodes that are not part of VN request, but are part of the physical paths that host the VLs.

In this paper, we propose a new dynamic proactive resource defragmentation scheme based on path migration, to address the fragmentation problem inherent to online virtual links mapping in virtualized SDN-enabled infrastructures. It relies on a proactive selection of the VLs to migrate, which is triggered on a VN departure in case of presence of congested entities with a network resources fragmentation state. The VLs selection objective is to loosen the congested substrate entities while limiting the number of VLs to migrate. For increased defragmentation efficiency, the remapping of all selected VLs is jointly performed.

The rest of this paper is organized as follows. Section II discusses existing SN resource management approaches. In Section III, we describe and formulate the problem of defragmentation, before introducing our proposed solution in Section IV. Section V presents the evaluation and discusses the obtained results. Last, section VI concludes this paper.

II. RELATED WORK

Commonly, resource defragmentation algorithms are decomposed into three successive phases that we refer as: controlling reconfiguration, selecting candidate virtual components and remapping.

1) Controlling reconfiguration: As it is not conceivable to apply reconfiguration continuously, the objective is to determine when to trigger the process such as the reconfiguration is effective. We distinguish two categories of approaches according to the trigger mode: periodic [3] [4] and event-based. This last category is decomposed into three subcategories: (1) reactively triggered on VN request rejection; (2) proactively [2] [5] triggered by events like VN departure and (3) hybrid which can be triggered when a VN request embedding fails or/and on a VN expiration. Some solutions [3][6][5] condition the reconfiguration by the level of congestion beyond which the reconfiguration happens.

2) Selecting candidate virtual components: During this phase, critical virtual components (logical group of virtual
links and/or nodes) potentially reconfigurable are selected. The number of virtual components being limited in order to reduce computational costs and reconfiguring duration, they should be relevantly chosen. The selection of each virtual component depends on many factors like: short-term re-optimization objectives, the considered substrate resources (link and/or switching, ..) and the considered virtual entities (virtual node and/or link). The selection typically assesses the impact of each running virtual component and chooses the ones that are expected to mostly contribute to the reduction of fragmentation.

3) Remapping: At this stage, the previously selected virtual components are reallocated. We distinguish three criteria to classify remapping methods. The first one includes: (1) integrated approaches [7] [8] that execute the selection and the remapping in a coordinated manner simultaneously; and (2) separated approaches where the selection and the remapping are performed independently. The second criterion includes interrelated approaches [9], which require specific information from the initial embedding strategy, unlike the approaches that are able to operate with any initial embedding strategy. Finally, the last criterion allows to distinguish the approaches that are restrained to reallocate the selected virtual components individually (i.e sequentially), from those able to treat them simultaneously for a further efficient resource utilization.

The defragmentation scheme that we are proposing is a proactive in the extent that it is not triggered on a VN rejection, but typically on a VN departure. More precisely, to avoid frequent and useless reconfiguration, a reconfiguration attempt is conditioned by the congestion level and the fragmentation level of substrates links and nodes. On a reconfiguration attempt, not all running VLs are remapped, neither all VLs belonging to a same VN. Instead, a subset of VLs that can be profitably migrated are selected. Our proposal is not related to any specific VNE algorithm. It can complement any suitable VNE algorithm. Our approach is hence able to take advantage of existing VL mapping strategies by remapping all selected VLs jointly for a better resource allocation.

III. PROBLEM DESCRIPTION AND FORMULATION

In this study, we consider a SN with a fixed topology and fixed links and nodes capacity. The VNs consisting of a set of VLs come and leave the infrastructure dynamically. Their required resources are static during their lifetime. They are treated in sequence by an initial online embedding algorithm, with no information on future requests. We assume that its ultimate goal is to improve the acceptance ratio of VN requests. Only the lack of available resources may cause request rejection. A VN request is considered accepted, only if all VLs composing it, are successfully mapped onto the SN. We assume that all currently embedded VNs can be dis-embedded and re-embedded.

A. Network model

The SDN substrate network is modeled as a bidirectional graph \( G = (N, L) \) where \( N \) is the set of SDN nodes and \( L(L \subseteq N \times N) \) the set of physical links which operate in full-duplex mode. To each node \( i \in N \), is associated a switching capacity \( U_i \), which is the maximum number of entries (i.e. size limit) of its flow table. The current size of node \( i \) flow table is denoted by \( U'_i \). Each link \( (i, j), i, j \in N \) is weighted by its bandwidth \( B_{ij} \). The bandwidth that is currently assigned at link \( (i, j) \) by already admitted virtual links is denoted by \( B'_{ij} \).

B. Virtual network requests model

A VN request is composed of a set of \( K\) VLs. Each VL \( k \) is characterized by: a source node \( s_k \in N \), and a set of destination nodes \( T_k \in N \setminus \{s_k\} \). Each subset of destination nodes \( T_k \in N \setminus \{s_k\} \) (when \( |T_k| = 1 \), the VL is point-to-point, otherwise it is point-to-multipoint); a bandwidth requirement \( b_k \).

C. Virtual link mapping model

The initial embedding algorithm maps each VL \( k \) to a substrate path denoted as \( F_k \), which consists of a set of physical links and nodes. \( f_k(i, j) \) refers to the amount of bandwidth used on link \( (i, j) \in F_k \) by the VL \( k \). Likewise, we denote by \( l_k(i) \) the number of entries that are allocated at node \( i \in F_k \) to support VL \( k \) with the assumption that all entries consume the same amount of resources regardless of the complexity of the match operation and the related instructions to perform.

D. Resource defragmentation objectives

As stated in section III, the long-term objective is to enhance the embedding performance in terms of VN acceptance ratio. To this end, at each trigger of the defragmentation mechanism, the objective is to:

- minimize the number of congested substrate links and nodes while
- reducing the resources spent to map existing VLs, also known as embedding cost.

Unfortunately, the excessive remapping of running VLs can cause network instability and can also induce significant computational costs, as well as bandwidth overhead due to the rerouting rules which are sent from the controller to the nodes. Consequently, our last objective is to restrict the whole number of VLs that will be migrated.

IV. PROPOSED SOLUTION

In this section, we present our proposal called “GC” (Algorithm 1), which is based on a heuristic approach. Acting as an event-condition-action engine, GC is proactively triggered when a VN leaves the infrastructure. It is structured into three successive phases. The first one denoted Controlling reconfiguration aims at determining at which conditions substrate resources are considered as fragmented and if, there is an urgent need for carrying out migrations. The next one, called Selecting virtual links aims at determining which VLs can profitably be migrated. And the last phase Remapping during which new mappings (hosting substrate paths and related assigned resources) are calculated, to reroute selected VLs.
Algorithm 1: Garbage Collector algorithm

Input: $G(N,L); X$ set of running Virtual Links; 
$F_k \forall k \in X; \theta; N_{max}; \tau$

1 begin
2 Initialize $\mathcal{R}_0 \leftarrow$ set of substrate links 
3 $(i,j): s(i,j) \geq \theta \cup$ set of substrate nodes $i:$ 
4 $s(i) \geq \theta; I_N \text{ and } I_L; \text{Success} \leftarrow \text{false}; \zeta \leftarrow \emptyset$
5 if $(\mathcal{R}_0 \neq \emptyset \text{ and } (I_N > \tau \text{ or } I_L > \tau))$ then 
6 $\zeta \leftarrow \text{Select VLs}(G(N,L), X, F_k, \theta, N_{max})$
7 $\text{Success} \leftarrow \text{Reallocate}(\zeta, G(N,L))$
8 if (Success) then 
9 $\text{Commit new assigned allocations to } \zeta$
10 else $\text{Rollback currently assigned allocations to } \zeta$
11 end

A. Controlling reconfiguration

Unlike some approaches that systematically reconfigure when an event occurs, we propose (Algorithm 1 : line 3) to jointly combine two conditions that must be satisfied to launch a migration attempt: one on the congestion level of the substrate resources, and the other on their fragmentation level.

1) Detecting congestion: The congestion of only one substrate entity, may cause a rejection of a complete VN request or force mapping new VLs over more resource consuming (longer) paths. Hence, congestion is a fundamental situation that must be considered. On the other hand, it is important to spare some SN entity namely those with a central position in the SN topology that are likely to be frequently solicited. So, a SN entity is considered to be $\theta$-congested if its stress $s$ is greater than $\theta$. Formally,

$$s(i) = w(i) \cdot \frac{\sum_k (l_k(i))}{U_i} \geq \theta \quad (1)$$

$$s(i,j) = w(i,j) \cdot \frac{\sum_k (f_k(i,j))}{B_{ij}} \geq \theta \quad (2)$$

where $s(i)$ and $s(i,j)$ represent respectively the stress of physical node $i$ and link $(i,j)$. $w(i)$ and $w(i,j)$ are the normalized node and link importance calculated offline based on notions such as centrality, communicability and betweenness [10]. $\theta$ is the congestion threshold from which an SN entity is considered congested. $k \in X, X$ being the set of running VLs.

2) Detecting fragmentation: As the resource fragmentation is due to the departure of a VN which releases resources, the congestion may occur even if the network is in a clean state (for example when requests come and leave following LIFO (Last In First Out)). The resource fragmentation level allows to detect such situation (not only), hence avoiding the useless triggering of reconfigurations. The level of SN resources fragmentation is measured by two indexes formally,

$$I_N = \frac{\max\{s(i), \forall i \in N\}}{\sum_{i \in N} s(i)} \geq \tau \quad (3)$$

$$I_L = \frac{\max\{s(i,j), \forall (i,j) \in L\}}{\sum_{(i,j) \in L} s(i,j)} \geq \tau \quad (4)$$

Where $I_N (1 \leq I_N \leq |N|)$ and $I_L (1 \leq I_L \leq |L|)$ represent respectively the fragmentation index of physical nodes and links. The more the indexes are close to 1 the more allocations are fairly distributed on the SN. The parameter $\tau$ is the threshold from which fragmentation is detected.

B. Selecting virtual links

Unlike some approaches proposing to select all VLs of the VN that are mapped over congested entities, we propose to limit the number of VLs to migrate to $N_{max}$. For example, it may be fixed to the number of VLs composing the departing VN. Algorithm 2 shows how the selection is performed. This iterative algorithm selects at each iteration (line 3-7) a set of $n_{max}$ ($n_{max}$ ensures that the total number of selected VLs will not exceed $N_{max}$) VLs noted $\Gamma$, among not yet selected ones noted $C$. The algorithm iterates until the number of selected VLs reaches $N_{max}$ or stops when there is no more potentially suitable VL in $C$. We rely on a primitive function noted MSS-MRu for “Minimum Spanned Set with Maximum Resource utilization”, in order to select a subset of VLs at each iteration.

Algorithm 2: Select VLs

Input: $G(N,L); X$ set of running Virtual Links; 
$F_k \forall k \in X; \theta; N_{max}$

Output: $\zeta$ set of selected virtual links

1 begin
2 Initialize $\zeta \leftarrow \emptyset; \Gamma \leftarrow \emptyset; C \leftarrow \emptyset; n_{max} \leftarrow 0$
3 repeat
4 $C \leftarrow X \setminus \zeta; n_{max} \leftarrow N_{max} - |\zeta|$
5 $\Gamma \leftarrow \text{MSS-MRu}(G(N,L), C, F_k, \theta, n_{max})$
6 $\zeta \leftarrow \zeta \cup \Gamma$
7 until ($|\zeta| = N_{max}$ or $\Gamma = \emptyset$)
for which it is the end node). The variable $\mathbb{R}_g$ refers to the set of spanned $\emptyset$-congested entities. For each VL $k$ in $C$, the algorithm maintains three metrics that are used to evaluate the suitability of migrating the VL.

- We define the impact of a VL on a given set, as the number of elements of its substrate paths belonging to this set except its source and destination nodes. We distinguish two variants of the impact: impact of a VL $k$ on $\mathbb{R}_g$ noted $A_k$ and its impact on $\mathbb{R}_g \setminus \mathbb{R}_g$ noted $\overline{A}_k$. Formally,

\[
A_k = |\mathbb{R}_g \cap \{F_k \setminus \{s_k \cup T_k\}\}|
\]
\[
\overline{A}_k = |(\mathbb{R}_g \setminus \mathbb{R}_g) \cap \{F_k \setminus \{s_k \cup T_k\}\}|
\]

- We also define resource utilization $R_k$ of a VL $k$ as total resources assigned to VL. It reflects how much the VL affects overall resource utilization on congested substrate links and nodes. It is calculated as follows:

\[
R_k = \alpha \sum_{(i,j)\in F_k} (f_k(i,j)) + \beta \sum_{i\in F_k} l_k(i)
\]

It is important to note that, this formulation considers both, link bandwidth resource, as well as switching resources in term of flow table entries. Parameters $\alpha$ and $\beta$ allow to configure the relative importance of each type of resource.

We also introduce a priority queue $PQ$ to sort VLs based on a comparison logic called Comparator which works as follows: a VL $k1$ is more suitable (of higher priority) than another one $k2$ if $\overline{A}_{k1} > \overline{A}_{k2}$; else if $\overline{A}_{k1} = \overline{A}_{k2}$, then $k1$ has priority if $A_{k1} > A_{k2}$; else if $A_{k1} = A_{k2}$ then $k1$ has priority if $R_{k1} > R_{k2}$. Otherwise one will be arbitrary designated as having priority. The algorithm iterates (algorithm 3: line 3 - 11) until the number of selected VLs reaches $n_{\text{max}}$, or stops when all congested entities are spanned. At each iteration, the $PQ$ is refreshed, and the suitable VL is selected. The congested entities hosting selected VL are marked as spanned except for its source and destination nodes, and the impact of the rest ($C \setminus C_k$) of VLs on not yet spanned entities, is updated.

### Algorithm 3: Minimum Spanning Set with Maximum Resource utilization (MSS-MRUs)

**Input**: $G(N, L)$; $C$ set of candidate Virtual Links; $F_k \forall k \in C$; $B$; $n_{\text{max}}$

**Output**: $\Gamma$ set of spanning virtual links

1. Initialize $\Gamma \leftarrow \emptyset$; $\mathbb{R}_g \leftarrow \{\text{Set of congested substrate links and nodes (the load of each node is calculated without considering, the load of the VLs for which it is an end node)}\}$; $\overline{\mathbb{R}}_g \leftarrow \emptyset$; $\forall k \in C$ calculate $A_k$, $\overline{A}_k$, $R_k$; a priority queue $PQ \leftarrow \emptyset$

2. **repeat**
   
   3. $PQ \leftarrow \emptyset$
   
   4. **foreach** $k \in C \setminus \Gamma$ do
   
   5. $\Gamma \leftarrow \Gamma \cup \{k\}$
   
   6. $\mathbb{R}_g \leftarrow \mathbb{R}_g \cup (\mathbb{R}_g \setminus \{F_k \setminus \{s_k \cup T_k\}\}))$
   
   7. **forall** $k \in C \setminus \Gamma$ Update $\overline{A}_k$

3. **until** $(|\Gamma| = n_{\text{max}})$ or $(|\mathbb{R}_g| = |\mathbb{R}_g|)$

### Table I

<table>
<thead>
<tr>
<th>Iteration</th>
<th>$\Gamma$</th>
<th>$\mathbb{R}_g$</th>
<th>$\mathbb{R}_g \setminus \mathbb{R}_g$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>$k_1$</td>
<td>$(B,D),(D,E)$</td>
<td>$(B,D),(D,E)$</td>
</tr>
<tr>
<td>2</td>
<td>$k_1, k_2$</td>
<td>$(B,D),(D,E)$</td>
<td>$(B,D),(D,E)$</td>
</tr>
<tr>
<td>3</td>
<td>$k_1, k_2, k_3$</td>
<td>$(B,D),(D,E)$</td>
<td>$(B,D),(D,E)$</td>
</tr>
</tbody>
</table>

Legend: $(A \rightarrow E) = k_1; (B \rightarrow A) = k_2; (C \rightarrow F) = k_3; (G \rightarrow F) = k_4$

In our case, the consideration of link bandwidth and switching
resources and the objective of allocating resources efficiently and fairly. These objectives are usually considered in many VNE algorithms amongst [11][12][13].

V. PERFORMANCE EVALUATION

We firstly introduce the simulation settings, before presenting our main results.

A. Simulation settings

Our algorithm is applied to a real network topology with different randomly generated VLs requests. The considered experimental set up is described hereafter.

1) Network model: We consider in this work a real network topology taken from the European Research Network GEANT with 41 network nodes and 60 links that connect the main European cities. Like [2], we assume that a flow table size of 125 entries (The remainder being reserved to the forwarding table of the virtual nodes) is dedicated at each node to VLs resource allocation. We consider that each flow rule requires 1 entry in the flow table of traversed nodes.

2) Load model: We assume that requests arrive following a Poisson distribution with an arrival rate $\lambda$ that is varied on $\{0.6, 0.7, 0.8, 0.9, 1\}$ i.e. the average number of requests varies from 60 to 100 requests per 100 units of time (UT) respectively. The requests lifetime conforms to an exponential distribution with an average of 125UT. The number of VLs per request is set according to a discrete uniform distribution, using the values given in [6,12]. The bandwidth requirement is uniformly distributed between 100 and 300Mbps.

3) Algorithms settings: The embedding algorithm to optimally map VLs onto the SN is based on an ILP formulation taken from [11]. It is used for both: mapping in-coming VNs and remapping selected VLs. The Integer Linear model was implemented in C++ with CPLEX-12.06 solver. The resolution time is set to a maximum of 15 seconds. A gap of less than 5% to the optimal solution is considered satisfactory. The simulation horizon is fixed to 1500UT. The parameters of GC are set as follows: $\tau = 2, \theta = 0.9, N_{max}$ is varied on $\{10, 15, 20\}$, $\alpha = 1$ and $\beta = 200$. These two latter parameters are calibrated to scale switching and bandwidth resources to the same magnitude. The remapping is performed in both modes, simultaneously (all selected VLs mapped jointly) and individually (VLs mapped one by one). GC will be compared to another efficient solution from the literature, called “SDN-VN” [2] that is a proactive approach also considering switching resources.

B. Performance metrics

- Acceptance Rate (A.R): the percentage of successful virtual links requests out of all the requests that arrived during the simulation time.
- Migration Cost (M.C): the total number of migrations occurred during the whole simulation.
- Maximum instantaneous link/node utilization: the greater percentage of assigned bandwidth/flow table entries at a given link/node, computed at a time instant $t$, i.e. $Max\left(\frac{B_j}{U_j} \forall (i,j) \in L\right)$ for links and $Max\left(\frac{U_i}{B_i} \forall i \in N\right)$ for nodes.

C. Evaluation results and analysis

1) Effect of $N_{max}$: We first examine the influence of the maximum number of VLs to migrate at each trigger of GC. Figures 2a and 2b show the performance of GC in terms of acceptance ratio and migration cost as a function of the arrival rate ($\lambda$). We evidently observe that, the acceptance ratio is improved when $N_{max}$ increases. The reason is that, remapping more VLs gives more chance to unload congested nodes and links, which may cause requests rejection. We also observe that, at the high offered load, more gain can be achieved. This is because, at low loads, even though the available resources are fragmented, they are sufficiently abundant to successfully process incoming requests, by migrating just a few VLs. It
is also clear that, under excessively high load, the SN will be continually saturated, and VLs requests will be rejected independently of the value of $N_{\max}$. Furthermore, while increasing $N_{\max}$, improves the requests admissibility, it also leads to more reconfigurations which increase the migration costs as shown in Figure 2b.

2) Impacts of simultaneous vs individual remapping: Another important aspect of our approach is its flexibility to simultaneously or individually remap the selected VLs. Figures 2c and 2d show that the simultaneous allocation is more efficient. It decreases the final rejection ratio compared to the case where VLs are reallocated in sequence. Since the remapping algorithm has information about all selected VLs and, it can achieve in one-shot, a better substrate resource arrangement. Also, reallocating each VL individually, is less efficient than when all selected VLs are dis-embedded to be reallocated simultaneously. This is why, as presented in Figure 2d, the migration costs are higher when VLs remapping is performed simultaneously.

3) Comparative analysis: The final experiments show the gain of our proposal, compared to the ILP based VLs mapping with no reconfiguration and with reconfiguration using SDN-VN. As expected, the results from Figure 3a show that, when VLs embedding strategy incorporates a defragmentation mechanism, the acceptance ratio is improved. The admittance gain is on average about 10%. This is due to the dynamic resource cleaning. Moreover, the results also show that GC significantly increases the acceptance rate in comparison to legacy SDN-VN. In fact, Figure 4 depicts the maximum instantaneous link and node utilization when $\lambda = 0.8$. We have observed that, GC is triggered at instant 163 when the first VN expiration occurs in presence of 0.90-congested nodes. GC takes advantage by minimizing maximum node utilization. Furthermore, more bandwidth is consumed, but, while maintaining maximum link utilization less than 0.9. Another key observation from Figures 2c and 2a, regarding acceptance reveals that, GC also outperforms SDN-VN even when VLs are individually remapped. We think that, one of the main reasons is the fact of removing source and destination nodes from “VL impact” (equations 6). This allows to prioritize VLs whose traversed congested intermediate nodes. This increases the likelihood of moving a selected VL from its current substrate path to a more profitable one. Figure 3b reveals that GC reconfigures more VLs than SDN-VN. This is due to the higher acceptance rate that causes bottlenecks, and also more departures.

VI. CONCLUSION
This paper has proposed and evaluated a new proactive VLs migration scheme to address SN resources fragmentation in SDN environments. Its main features is an effective VLs selection algorithm which selects the most impacting VLs for migration, the consideration of switching resources and the ability to remap the selected VLs simultaneously.

Our method was evaluated on a real network topology under commonly used load models. The simulations show that our proposal clearly improves the efficiency of the mapping algorithm and outperforms an existing solution from the literature.

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