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# Towards high-speed tuning Cavity Resonator-Integrated Guided-mode Resonance Filters

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## Summary

We report the experimental demonstration of tunable Cavity Resonator-Integrated Guided-mode Resonance Filters made on lithium niobate on insulator. Temperature-induced tuning over a wavelength span greater than the full-width half-maximum of a filter with a Q factor of  $\sim 1600$  is achieved.

## Introduction

Cavity Resonator-Integrated Guided-mode Resonance Filters (CRIGFs) [1] form a family of grating-based filters whose characteristics in terms of spectral selectivity and peak reflectivity and angular tolerance make them attractive for use as wavelength-selective mirrors for extended-cavity diode lasers (ECDLs) [2,3]. Since their behavior is ruled by the excitation of a localized mode, their implementation in conventional SiN technological platforms typically leads to fixed wavelength operation. To overcome this limitation, CRIGFs with spatially-graded structures have been successfully demonstrated [4] and used to introduce broadband tuning of ECDLs [5].

In this article, we report progress towards tunable CRIGFs with no moving parts exploiting the lithium niobate on insulator platform.

## Device design and fabrication

To demonstrate resonance electronic tuning in CRIGFs, we selected the lithium niobate on insulator technology as this material platform is compatible with the fabrication of low-loss integrated optics [6] and provides various means to electronically modify the material refractive index, namely using either thermal, electro-optic, piezo-electric or acousto-optic effects.

The CRIGFs were designed for operation at a wavelength of 1550nm using a combination of rigorous coupled-wave analysis [7] and coupled-mode theory [8]. The devices under study are made on an X-cut LiNbO<sub>3</sub> substrate and rely on a 323nm SiO<sub>2</sub>/72 nm Si<sub>3</sub>N<sub>4</sub>/297nm LiNbO<sub>3</sub>/2 $\mu$ m SiO<sub>2</sub> planar waveguide. 50- $\mu$ m-wide structures consisting of an 11-period 865-nm-pitch central grating coupler embedded between two 400-period 432.5-nm-pitch Distributed Bragg Reflectors were fabricated using a combination of nano-imprint lithography and dry-etching. The rear surface of the sample is covered by a 266-nm-thick SiO<sub>2</sub> single-layer anti-reflective coating to avoid back-reflection from this interface.

## Device characterization

The device spectral characteristics are measured using a fibre-coupled tunable laser around 1550 nm whose output is relayed using free-space optics to form a 5.3- $\mu$ m-waist beam and on the sample. The transmission and reflectivity responses are respectively calibrated against sample-free transmission and the reflection from a silver mirror. For a substrate temperature of 20°C, a resonant feature is observed at  $\sim 1554.4$  nm with a 0.96-nm linewidth and an on-resonance transmission factor and peak reflectivity of  $32 \pm 2\%$ . As shown in Fig. 1 left, when varying the sample substrate

temperature, a resonance shift of  $0.0382 \pm 0.0008 \text{ nm/K}$  was observed. This value is in good agreement with the rate of  $0.0364 \text{ nm/K}$  derived numerically (RCWA) using the experimentally-inferred material coefficients of references [9,10]. Furthermore, as shown in Fig. 1. right, should  $70\text{-}\mu\text{m}$ -separated electrodes be added to the current set of devices, an electro-optic tuning rate of  $0.002 \text{ nm/V}$  is predicted.

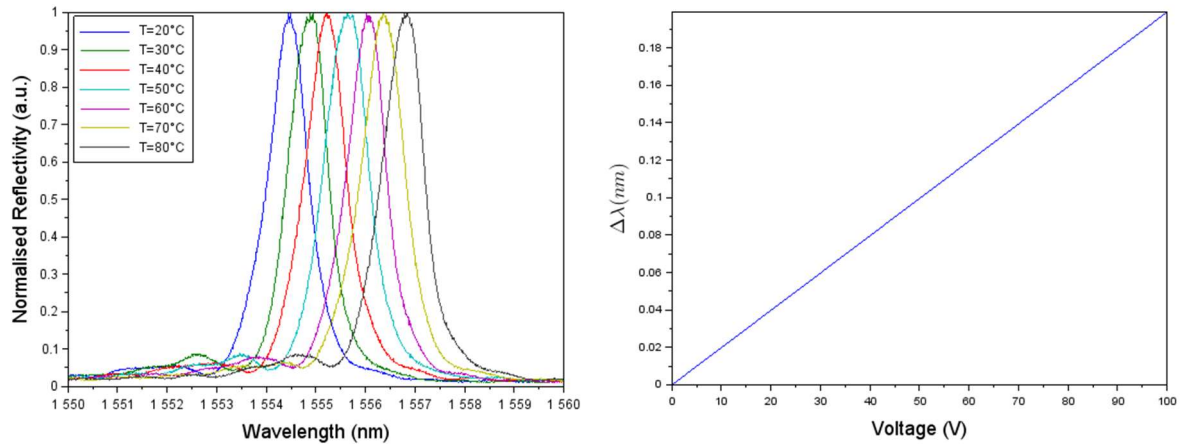


Fig. 1: left: Temperature-dependent evolution of the CRIGF normalized reflectivity spectrum.  
right: Predicted tuning for electro-optically actuated CRIGFs based on the current design.

## Conclusions

We presented the design, fabrication and characterization of CRIGFs made using lithium niobate on insulator technology. Filters exhibiting Q-factors of  $\sim 1600$  at a wavelength of  $\sim 1550 \text{ nm}$  and a resonance shift of  $\sim 2.6 \text{ nm}$  upon a rise in temperature of  $60^\circ\text{C}$  are reported. Investigations of electro-optically-tuned devices is under way and the associated results will be shown at the meeting.

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