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High Resolution Laser Self-Mixing Displacement Sensor under Large Variation in Optical Feedback and Speckle

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Abstract— Self-Mixing interferometry (SMI) signal characteristics are highly dependent on both the operating optical regime and target surface. In this paper, a method is proposed to overcome some identified limitations of the even power scalable algorithm (EPSA) [1], such as the required operating regime to be weak feedback. In addition, by using the up-sampling techniques, the number of stages involved in the even-power scalable algorithm can be drastically increased without any data acquisition bandwidth modification. Here, 10 successive stages have been successfully implemented to achieve a theoretical resolution of $\lambda_0/2^{13}$. It is further shown that the proposed method can handle and process weak, moderate and strong feedback regime as well as speckle affected SMI signals more efficiently. Lastly, FPGA based hardware emulation of EPSA is also done for later embedded implementation of this high-resolution algorithm. FPGA synthesis results show that the designed system can measure maximum target velocity up to 1.18 m/s while consuming total power of 1.4W.

Index Terms—Self-mixing, Signal processing, Speckle

I. INTRODUCTION

Laser feedback based self-mixing interferometry (SMI) [2, 3] has been demonstrated for multiple sensing applications such as velocity [4], displacement [5, 6], distance [7], vibration [8], flow [9], tomography [10], 3D imaging [11], angle [6], and refractive index [12] etc. As opposed to conventional two-beam interferometry, SMI enables a compact, self-aligned and low-cost metric sensor (see Fig. 1).

In typical SMI setup, each interferometric fringe is assumed to correspond to remote target's motion of $\lambda_0/2$, where λ_0 is the laser's wavelength. $\lambda_0/2$ is thus considered basic resolution of SMI sensors [2, 3]. However, many applications require much higher resolution than just $\lambda_0/2$. E.g., in fabrication process of lens surface element, measurements involving better than $\lambda_0/10$ resolution are needed [13]. Similarly, nano-step height measurement in tomography [14] and surface imaging require nanometric resolution [15]. So, various methods are reported in literature to improve SMI resolution.

Using two reflectors, method capable of improving measurement resolution by 17 times was proposed [16]. However, the setup needed special arrangements to obtain partial reflection of signals from remote target, which is difficult to achieve during real-time measurements.

An external reflecting mirror was used to acquire $\lambda_0/6$ fringe precision [17]. However, due to use of external mirror, overall system becomes complex and costly. Furthermore, system requires angle measurements between target and external mirror, which is difficult to measure accurately, hence increasing chances of error in measurements. This system of [17] was improved by using the effect of orthogonal

polarization feedback to achieve resolution of $\lambda_0/58$ [18]. Although measurement results for nanometer resolution were good, but performance of the system reduces when the reflection time goes up to 29 times.

A digital closed-loop vibrometer [19] using fringe-locking [20] was proposed for nanometric sensing. However, the achievable precision and dynamic range are limited by laser-to-target distance and λ_0 tunability range respectively.

Similarly, a two lasers based equivalent wavelength method was also proposed to achieve fringe resolution of 125 nm or $\lambda_0/3.25$. Use of two laser beams, however, renders the whole setup complex [21].

All the above-mentioned methods require addition of optical components to the SMI setup. As a result, simplicity, compactness and cost of SMI setup are comprised.

So, to overcome limitations of previous methods, power spectrum analysis method involving multiple SMI signals was presented [22]. It does not require additional optical components and improves fringe resolution by a factor of 2, 3 or even 4 depending upon multiple reflections. However, it is valid only for simple harmonic motion and also requires careful adjustment of tilt angle of moving target.

Fig. 1. Typical SMI setup for target displacement measurement by using a laser diode (LD) package and a focusing lens. A piezoelectric transducer (PZT) is used as target under motion. Power variations $P(t)$ are processed using a

Fig. 2. Simulated SM Signals for different C values with target vibration $A_{p-p} = 1.7 \lambda_0$ ($\lambda_0 = 785\text{nm}$) (a) $D(t)$, (b) $C = 0.2$, (c) $C = 1$, (d) $C = 2.5$, (e) $C = 5$.

In another SMI setup, for a determined amount of optical feedback, an internal sub-periodicity appeared in SMI signals due to mode hopping between the different longitudinal laser modes excited by the external cavity [23]. SMI sensor resolution could then be doubled or tripled due to multiple modes undergoing SMI [23].

Similarly, in the context of avoiding any external optical component, Zheng et al. proposed a simple and fast even-power scalable algorithm (EPSA) for improving fringe resolution in SMI [1]. This method is based on a signal processing technique, in which a weak optical feedback regime [1, 2] SMI signal is passed through multiple stages. At each stage, square of signal is subtracted from scaled version of original signal resulting in doubling of fringe resolution after each stage. Results showed that EPSA [1] provided up to $\lambda_0/32$ fringe resolution for SMI signals as long as optical feedback coupling factor C remained stable and very small, corresponding to so-called weak feedback regime ($C < 1$) [1].

EPSA thus provided an elegant signal processing based method for improving the SMI resolution. However, certain limitations remain before it can be used for practical, real-world sensing applications in which optical feedback strength cannot be kept constant at all times. Practically, variations in optical feedback do occur during the course of continuous sensing (resulting in change from weak- to moderate- or strong-optical feedback regime or vice-versa). Furthermore, speckle phenomenon [24], causing fringe amplitude variation [25] and regime-change [26], can also occur in case of non-cooperative remote target surfaces. As the shape and amplitude of SMI signal is strongly dependent on 1) optical feedback strength and 2) speckle, so performance of EPSA significantly degrades due to variation in these two factors.

In addition to above-mentioned two limitations, EPSA [1] employed sampling frequency f_s of 50 kHz even when remote target's velocity was low (maximum measurable target's velocity is proportional to f_s), and could not process SM signals acquired using lower f_s . Even when such a high f_s (with respect to actual target's velocity) was chosen, the measurement resolution remained limited to $\lambda_0/32$ (due to inherent bandwidth

expansion within EPSA).

Lastly, EPSA was discussed only in the context of off-line sensing/processing [1]. Thus, no hardware-implementation architecture was proposed to ascertain the performance of EPSA for later practical, real-time, embedded implementation.

In this context, the aim of this work is to present solutions to each of above-mentioned 4 limitations of EPSA [1]. The proposed algorithm allows the use of EPSA even when large variation in optical feedback occurs such that SMI sensor enters the so-called moderate-, or even strong- optical feedback regime. Furthermore, it is also able to process such SMI signals exhibiting signal fading due to occurrence of speckle. Also, EPSA is modified to enable processing of SMI signals at lower sampling rates. Lastly, field programmable gate array (FPGA) based hardware emulation of EPSA is also performed for potential implementation enabling embedded sensing.

II. THEORY OF SMI

Theory of SMI is well-established [2, 3], and is summarized below. SMI occurs when emitted laser strikes a remote target under displacement $D(t)$ and a part of the backscattered light re-enters the active laser cavity. There, it interacts with emitting field causing change in optical output power (OOP) signal $P(t)$ received at photo-diode (PD), given by :

$$P(t) = P_0[1 + m * \cos(\Phi_F(t))] \quad (1)$$

where m is modulation index, $\Phi_F(t)$ is laser output phase with optical feedback and P_0 is OOP of free running laser emitting wavelength λ_0 [2].

In SMI, C is a fundamental parameter categorizes an SM signal into three main categories:

- 1) $0.1 < C < 1$ characterizes weak optical feedback regime with SMI signal having sinusoidal or quasi-sinusoidal fringes without sharp discontinuities.
- 2) $1 < C < 4.6$ characterizes moderate feedback regime with fringes having saw-tooth like shape and asymmetric hysteresis.
- 3) $C > 4.6$ results in strong feedback regime signal with chaotic shape and fringe-loss.

Note that when C increases, hysteresis in SMI signal increases, height of SMI fringes decreases, and fringes begin to disappear for strong feedback regime (see Fig. 2).

III. EPSA LIMITATIONS AND PROPOSED SOLUTIONS

EPSA is based on the approximation that for weak regime, feedback phase $\Phi_F(t)$ does not change greatly and can be approximated as $\Phi_0(t)$. Then $P(t)$ can be modulated directly by cosine of the phase as any traditional interferometric system.

$$P(t) = \cos[\Phi_0(t)] \quad (2)$$

So, by raising the power to double, triple and quadruple, resolution doubles, triples and quadruples accordingly. Fig. 3 shows schematic block diagram of EPSA, where P_2 , I_0 , I_1 , I_2 and I_n represents 1st, 2nd, 3rd, 4th and n^{th} stage output respectively. After each stage, fringe resolution was doubled, theoretically leading to n^{th} stage for which fringe resolution can be given by $\lambda_0 / 2^{n+3}$. In the case of moderate and strong regime, above EPSA approximation does not hold, resulting in inability to process moderate and strong regime signals. That is

why; the suggested approach is to replace the discontinuous fringes by continuous one that looks like the weak feedback regime.

Fig. 3. Schematic block diagram of EPSA [1].

Fig. 4. Performance of EPSA for high- and low- C valued simulated SMI signals with $A_{p-p} = 1.7 \lambda_0$ ($\lambda_0 = 785$ nm) (a) $D(t)$, (b) - (d) normalized $P(t)$ for $C=0.2$, 1st stage output (P_2) and 2nd stage output (I_0) for signal (b), (e) - (f) normalized $P(t)$ for $C=3$, 1st stage- (P_2) and 2nd stage-output (I_0) for signal (e).

As previously mentioned, EPSA had following limitations, which need to be addressed:

- 1) EPSA cannot process SMI signals belonging to moderate- and strong-optical feedback regime.
- 2) EPSA cannot process speckle affected SMI signals exhibiting signal fading, and requires stable optical feedback.
- 3) EPSA does not address the aspect of bandwidth expansion of SMI signal leading to limitation in resolution improvement.
- 4) EPSA is validated for off-line SMI signals only. I.e., no hardware implementation/architecture is proposed for real-time, embedded sensing applications.

This section is further divided into 5 subsections addressing each of the above-mentioned limitations of EPSA culminating in the proposed improved EPSA, denoted as IEPSA.

A. Large Variation in Optical Feedback Strength

As previously discussed, EPSA [1] can only process weak-feedback regime signals ($C < 1$), and cannot process high C value signals of moderate- and strong-feedback regimes.

Fig. 4 shows comparison of EPSA processing results for weak- and moderate-feedback regime SMI signal with $C=0.2$ and $C=3$ respectively for a target vibration of $A_{p-p} = 1.7 \lambda_0$. It is clearly seen that EPSA is able to process weak-feedback regime signal (see Fig. 4(b)-4(d)), but is unable to process moderate-feedback regime signal (see Fig. 4 (e-g)).

With the increase in C , the shape of SMI fringes changes from sinusoidal to saw-tooth like, and fringe-amplitude also

decreases (see Fig. (2)). The objective then is to reshape an SMI signal with high C value into an SMI signal pertaining to low C value resulting in a signal procesable through EPSA.

Fig. 5. Schematic block diagram of signal reshaping method enabling the processing of moderate- and strong-feedback regime SM signals.

Fig. 6. Signal reshaping method on simulated SM signal with $C=1.8$ for target vibration with $A_{p-p} = 1 \lambda_0$ ($\lambda_0 = 785$ nm): (a) $D(t)$, (b) normalized $P(t)$ (blue), and fringes (red) (c) reshaped signal.

The proposed SM signal reshaping method is schematically presented in Fig. 5 while Fig. 6 shows the results of signal reshaping applied on an SMI signal with $C=1.8$.

In Fig. 5, $P[n]$ denotes the digitized version of $P(t)$. First, amplitude of signal is normalized within 1 and -1, then normalized signal is passed through fringe detection/localization block, which is based on derivative followed by thresh-holding for fringe detection. Then, localization for finding exact fringe location is performed by searching for max-min location around the detected fringe. Fringe location and fringe direction information is used in signal segmentation block to segment it into hump region and fringe region. After segmentation of signal, fringe regions are processed differently, while hump region is processed differently using reshaping techniques, as explained ahead.

It is known from [27], that the phase travel from the start of one fringe to the start of other fringe is 2π in the absence of fringe loss. Therefore, to reshape the fringe regions, a cosine wave generator is used to replace the signal between any two consecutive fringes with a cosine waveform starting from one fringe location and ending at other the other consecutive fringe while traveling 2π phase (see vertical green lines Fig. 6 (b-c)).

Hump region is the region between two opposite direction fringes. Hump region is further sub-divided into two parts, i.e. upward going hump and downward going hump. Purple vertical lines between two green lines in Fig. 6(b-c) indicate the distribution of hump regions in to two parts. Left part of the

hump is replaced with cosine wave starting from fringe location and ending before the start of next part (purple line fig. (6c)). Similarly, other half of hump is also replaced with cosine wave ending at the next fringe location (as indicated in Fig (6c)). Fig. 6 shows the successful processing of $C=1.8$ and $A_{p-p} = 1\lambda_0$ signal using proposed reshaping method.

B. Speckle Affected SMI Signals

After employing signal reshaping, SM signals with any C value (belonging to weak-, moderate- or strong-feedback regime (inclusive of fringe-loss [6] at the cost of lower resolution)) can now be processed. However, efforts need to be undertaken so that SMI signals with speckle can also be processed by the proposed method.

Speckle phenomenon happens due to constructive and destructive superposition between multiple light beams reflected from a rough target surface [24]. This causes rapid fringe amplitude fading at different points in received SM signal as highlighted in Fig. 7(a) [26]. As a result, again EPSA cannot correctly process such a signal due to sharp amplitude variations. In this regard, Hilbert Transform (HT) based SMI signal processing [28] has been proposed for speckle affected signals. So, HT is now used in conjunction with the method of Section III-A to deal with speckle affected SMI signals. Block diagram of HT based processing is shown in Fig. 8. Firstly, any DC offset of speckle affected SMI signal is removed by using a 64-tap moving average filter.

Secondly, HT is applied resulting in retrieval of an instantaneous phase (IP) signal which is devoid of amplitude variations caused by speckle (see Fig. 7(b)-(c)) [28].

This IP signal, however, contains fast switching at fringe locations (see Fig. 7 (c)) which necessitates reshaping of this IP signal. So, it is processed using the previously described signal reshaping method to obtain equivalent signal with cosine fringes (see Fig. 7 (d)).

Fig. 7. Signal processing of speckle affected SM Signal: (a) normalized $P(t)$, (b) instantaneous phase (IP) retrieval after Hilbert transform, (c) zoomed version of signal shown in (b), and (d) signal reshaping method applied on (c).

Fig. 8. Signal reshaping of speckle-affected SMI signal using Hilbert transform (HT) based method.

Fig. 9. Block diagram of IEPSA for processing SMI signal at optimum sampling frequency. 1st stage, 2nd stage and nth stage represents 1st, 2nd and nth output stage of EPSA respectively.

Fig. 10. Performance comparison of EPSA in case of low-rate sampled SM Signal with $C=0.2$ and $A_{p-p} = 1\lambda_0$ ($\lambda_0 = 785\text{nm}$), sampled at $f_{opt} = 1.25\text{ kHz}$ (a) $D(t)$, (b) normalized $P(t)$, (c) EPSA P_2 stage output and (d) EPSA 3rd stage output (e) zoomed version of EPSA 3rd stage output, (f) normalized $P(t)$, (g) Interpolated EPSA P_2 stage output, (h) interpolated EPSA 3rd stage output, and (i) zoomed version of interpolated EPSA 3rd stage output.

Fig. 11. Block diagram of proposed IEPSA.

C. Bandwidth Expansion and Optimal Sampling Rate

As already mentioned, EPSA did not address SMI signal's bandwidth expansion (at each multiplicative stage) and employed a high f_s to mitigate its effect on limitation of resolution improvement. Specifically, it [1] could only process SMI signals sampled at $\geq 50\text{ kHz}$.

However, f_s needs to be set according to the maximum target velocity v_{max} and thus depends on maximum peak to peak amplitude A_{p-pmax} and frequency f_{tmax} of target motion $D(t) = \frac{A_{p-pmax}}{2} \sin(2\pi f_{tmax} t)$. Thus,

$$v_{max} = \pi f_{tmax} A_{p-pmax} \quad (3)$$

At the fringe level ($\Delta D = \lambda_0/2$), minimum duration of fringe Δt becomes:

$$\Delta t = \frac{\Delta D}{v_{max}} = \frac{\lambda_0}{2\pi f_{tmax} A_{p-pmax}} \quad (4)$$

If one sample per fringe is taken using $f_{unit} = 1/\Delta t$, then

$$f_{unit} = \frac{2\pi f_{tmax} A_{p-pmax}}{\lambda_0} \quad (5)$$

Note that if $f_s = f_{unit}$, sampled displacement resolution (in terms of $D[n] - D[n-1]$) is only of $\frac{\lambda_0}{2}$. Nevertheless, such a low f_s is not enough to enable correct fringe detection. It should

be at least twice of this frequency to fulfill Shannon principle. Thus, to increase sampled displacement resolution, higher number of samples per fringe N_{fr} is required. (Higher N_{fr} enables better resolution of peak- and valley-instants as well.) Thus, optimum sampling frequency f_{opt} becomes:

$$f_{opt} = N_{fr} \frac{2\pi f_{tmax} A_p - p_{max}}{\lambda_0} \quad (6)$$

Fig. 12. IEPSA response for simulated SM signals for (A) weak feedback regime ($C=0.5$), (B) moderate feedback regime ($C=1.8$) and (C) strong feedback regime ($C=4.6$). (a) target displacement $D(t)$ (b) $P(t)$, (c) fringe reshaping, (d) P_2 , (e) I_0 , (f) I_0 , (g) zoomed view of I_0 , (h) retrieved target displacement by fringe counting and (i) peak-peak error (red line) and RMS error (blue line) between reference displacement and retrieved displacement.

Fig. 13. Block diagram of FPGA based hardware emulation of IEPs.

Note that peak/valley locations are required for fringe adjustment (see Fig. 5), and are also used for accurate phase unwrapping in advanced SMI algorithms [27, 29]. Simulations indicate that if $N_{fr} \geq 40$ then it is possible to accurately localize peak- and valley-instants. Likewise, $N_{fr} \geq 40$ can theoretically ensure sample displacement resolution of $\geq \frac{\lambda_0}{80}$.

After specifying f_{opt} , let us discuss how the performance of EPsA is affected by f_s . In EPsA, number of fringes in input SMI signal are doubled after every stage due to multiplication of signal with itself, as given by [1]:

$$I_n = (I_{n-1} * I_{n-1}) + \frac{1}{2^{(2^{n+1})}} I_{n-1} \quad (7)$$

Where I_n and I_{n-1} are the n^{th} and $(n-1)^{th}$ stage outputs.

Signal multiplication with itself results in doubling of signal bandwidth (BW), as evident by the identity:

$$\cos(\omega_0 t) * \cos(\omega_0 t) = 0.5 * [1 + \cos(2\omega_0 t)] \quad (8)$$

Consequently, the BW of the input SMI signal doubles after every stage. EPsA catered to high BW of later stages by introducing a very high f_s at the input ADC stage (where $P(t)$ is originally discretized). Thus, this very high f_s was set well beyond the optimum f_s . Consequently, very high f_s enabled to cater to BW expansion up till 4 stages but it is not the right solution to the problem of BW expansion.

On the other hand, in the proposed work, f_s of ADC is

adjusted to the optimum f_s (which can now be set in proportion to maximum measurable target velocity) while BW expansion (by factor of 2) of the signal within each stage is dealt with by using dyadic up-sampling and interpolation.

Fig. 9 presents the block diagram of our proposed solution of BW expansion problem while processing SMI signal at f_{opt} . 1^{st} , 2^{nd} , and n^{th} stage block denote 1^{st} , 2^{nd} , and n^{th} output stage of EPsA respectively. Fig. 10 shows the comparison of performance of EPsA with (Fig. 10 (f)-(i)) and without (Fig. 10(b)-(e)) interpolation for an SMI signal having $C=0.2$, $f_0=5$ Hz and $A_{p-p} = \lambda_0$ sampled at f_{opt} of 1.25 kHz, as per (8). Without dyadic up-sampling and interpolation, SMI signal shape is significantly distorted even after the 2^{nd} stage of EPsA.

D. Improved EPsA (IEPSA) and Simulated Results

Finally, after these three improvements presented in III-A, III-B and III-C, a new improved version of EPsA (denoted IEPsA) is proposed (see complete block diagram in Fig. 11).

IEPSA is tested on simulated SMI signals pertaining to different feedback regimes. Fig. 12 shows successful IEPsA processing of simulated SMI signals of three different optical feedback regimes, i.e. weak-, moderate- and strong-feedback regime, with C value of 0.5, 1.8, and 4.6 respectively.

All SMI signals are processed up to 10 stages. 10^{th} stage output is also used to reconstruct the target motion by fringe-counting (or accumulation of all duplicated fringes). Note that this process introduces small errors in the reconstruction of the displacement thereby decreasing the precision of retrieved motion. Comparison with reference target motion is also done resulting in RMS error or displacement reconstruction precision of 0.4 nm ($\cong \frac{\lambda_0}{2^{11}}$), 0.8 nm ($\cong \frac{\lambda_0}{2^{10}}$) and 0.9 nm ($\cong \frac{\lambda_0}{2^{10}}$) for weak-, moderate- and strong-feedback (in the presence of no

Fig. 14 IEPSA results for experimental SMI signals for (A) $C=0.76$, (B) $C=2.07$ and (C) $C=3.49$, (a) acquired target displacement $D(t)$ from reference PZT sensor (b) $P(t)$, (c) fringe reshaping, (d) P_2 , (e) I_0 , (f) I_9 , (g) zoomed view of I_9 , (h) retrieved Displacement by fringe counting and (i) peak-peak error (red line) and RMS error (blue line) between PZT displacement and retrieved displacement

TABLE I. RESOURCES CONSUMPTION DETAILS FOR EPSA ON VIRTEx-6

Resources	Utilization	% Utilization
Slice Registers	15,454	20 %
LUTs	13042	8.8 %
Slices	4,130	35 %
LUT Flip Flop pairs	13203	14 %

TABLE II. POWER CONSUMPTION DETAILS FOR EPSA ON VERTEX-6

On-Chip	Power Consumption (mW)
Total Dynamic power	622
Quiescent Power	780
Total power (Dynamic + quiescent)	1402

However, with the increase in C , precision values decreases. This can be understood by realizing that when increasingly saw-tooth shaped fringes (for higher C) are replaced by cosine-shaped fringes, then more and more information from SMI signal's original phase is lost in this transformation. Another source of imprecision is the uncertainty in exact fringe location detection. Furthermore, in case of fringe-loss, precision expectedly further decreases for strong feedback regime [7].

E. Hardware Emulation

FPGA based hardware emulation of IEPSA is done using Vertex-6 XC6VLX75T as target device. VHSIC (Very High Speed Integrated Circuit) Hardware Description Language (VHDL) is used for this purpose using Xilinx ISE tool. 32-bit fixed-point precision is used for IEPSA emulation by taking 16-k samples of normalized SMI signal in Q1.31 format.

Currently, IEPSA design is emulated on FPGA up to five output stages (design can easily be scaled to n output stages). In addition to EPSA [1], HT based speckle affected SMI signal processing is also implemented. The hardware design can thus be divided into four sub-blocks i.e. DC removal, Hilbert transform, IP retrieval, and five-stage EPSA (Fig. 13).

DC removal block (consisting of moving average filter of 64 taps) is designed using VHDL language in Xilinx ISE tool. For Hilbert transform block implementation, 16-k points FFT/IFFT cores available in Xilinx ISE tool are used.

Similarly, for IP block, Cordic core is used to find arctan followed by arctan to arctan2 block in order to recover Hilbert phase signal for complete 360° plane.

The FPGA based IEPSA system can operate at maximum clock frequency of 255 MHz with latency of 261k clock cycles. Table I presents the resource utilization and Table II presents power consumption detail of our design.

As per (6), maximum measurable velocity is given by:

$$v_{max} = f_{opt} \frac{\lambda_0}{2N_{fr}} \quad (9)$$

For a laser with $\lambda_0 = 785 \text{ nm}$, an ADC operating at 120 MHz, and $N_{fr} \geq 40$, $v_{max} = 1.18 \text{ m/s}$. This means that our system could be used for sensing applications with high bandwidth requirement such as measurement of ultrasonic vibrations. For example, for target vibration occurring at 50 kHz, proposed FPGA based emulation of IEPSA can recover such vibration having A_{p-p} up to $7.5 \mu\text{m}$.

IV. EXPERIMENTAL RESULTS

The proposed IEPSA is tested by using a variety of experimental SMI signals under different optical feedback regime-, speckle-, and target motion-conditions. A commercial PZT (Piezo-electric Transducer) from Physik Instrumente® is used as the remote target. The PZT is equipped with a built-in capacitive sensor of 2 nm measurement precision, used as a reference to quantify the measurement performance of IEPSA.

Fig. 15. IEPSA response for an experimental speckle affected SM signal. (a) normalized $P(t)$, (b) phase retrieval after Hilbert transform (HT), (c) zoomed version of signal (b), (d) signal reshaping applied on (c), (e) P_2 , (f) I_0 , (g) I_9 , and (h) zoomed view of I_9 .

Fig. 16. IEPSA performance for an experimental SMI signal corresponding to arbitrary target motion. (a) reference displacement from PZT (b) $P(t)$, (c) fringe reshaping, (d) P_2 , (e) I_0 , (f) I_9 , (g) zoomed view of I_9 , (h) retrieved displacement, and (i) error (red line) and RMS error (blue line).

The SMI sensor is based on LD package from Sanyo® (DL7140) with $\lambda_0 = 785 \text{ nm}$, emitting power of 60 mW, and

a threshold current of 50 mA. The built-in monitor photo-diode of DL7140 was used to acquire the SMI signals. Sand paper was pasted on the normally polished metallic surface of PZT to acquire speckle affected signal. Optical feedback strength was varied by changing the focus of the lens (having 6.24 mm focal length) mounted inside the collimation tube (model LT110P-B by ThorLabs®) which housed the LD.

IEPSA is tested on experimental SMI signals pertaining to weak-, moderate- and strong-optical feedback regime. 10th stage output of IEPSA is also used to reconstruct the target motion. Comparison with reference PZT sensor's motion is also carried out to obtain measurement accuracy results. Fig. 14 presents successful processing of experimental SMI signals with estimated values of $C = 0.76$, $C = 2.07$ and $C = 3.49$ having precision of 0.8 nm ($\cong \frac{\lambda_0}{2^{10}}$), 4.8 nm ($\cong \frac{\lambda_0}{2^8}$) and 5 nm ($\cong \frac{\lambda_0}{2^7}$) respectively. (Note that phase unwrapping based method [28] was used to estimate C values.). More simulation are conducted for $C > 4.6$, and precision of 35 nm, 54 nm and 70 nm was observed for $C = 5.13$, 6.8 and 7.3 respectively, with fringe loss of 1, 2 and 2. Low IEPSA precision for strong feedback-regime expectedly occurred due to disappearance of one SMI fringe [7]). Importantly, IEPSA performance for experimental weak-, and moderate-feedback SMI signals approaches that of PZT sensor which itself has a precision of 2 nm ($\cong \frac{\lambda_0}{2^9}$).

IEPSA is capable of processing speckle-affected experimental SM signals as well (see Fig. 15). Similarly, IEPSA is also able to process SMI signals corresponding to arbitrary motion of PZT target. Fig. 16 shows successful processing of such an SMI signal using IEPSA, resulting in RMS error of 5 nm ($\cong \frac{\lambda_0}{2^8}$).

V. CONCLUSION

In SMI, many algorithms have been proposed to improve basic fringe resolution beyond $\lambda_0/2$. One of those algorithms is EPSA [1]. In this work, EPSA is analyzed, its limitations are found and a new improved version of EPSA (IEPSA) overcoming all the identified limitations of previous work is proposed. It is now able to process SMI signals belonging to all major optical feedback regimes encountered in SMI as well as speckle affected signals. The upper limit of scaling for practical sensing is also now removed. Testing and verification of our system is done with both simulated- and variety of experimental-SMI signals. Furthermore, FPGA based hardware emulation of IEPSA is also done, indicating that our designed system can operate at a clock frequency of 255 MHz while consuming total power of 1.4 W with maximum measurable velocity of 1.18 m/s. The successful implementation of this high resolution method leads towards a complete autonomous SMI setup for real-world sensing.

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